SYNERGY MARKETS

Advisory Dealing

Overview of the Service

This service has been designed to provide you with a dedicated specialist adviser, who can offer recommendations on a range of investment ideas to compliment any existing strategic positions or favoured stocks you currently hold. You will receive trading recommendations for listed securities and fixed income investments, focusing on overall portfolio growth and/or providing income.

The adviser will deliver advice and market commentary on short to medium term trading on global capital markets based on investment research by Synergy and the broader market view. In providing this advice we will consider your stated investment objectives, your risk profile and trading experience, as advised to us.

This service is suitable to clients seeking a high degree of market engagement and control over investment decisions while also seeking our views on market themes and opportunities. It is intended to compliment an existing portfolio of diversified assets.

We use a range of approaches to identify new trading ideas and opportunities:

- Fundamental analysis, leveraging the expertise of Synergy's research team;
- 3rd party research from market participants and brokers;
- Quantitative analysis, focused on short term trading momentum and other indicators; and
- Key corporate news flow such s results announcement or other special situations

Transactions conducted in the account can be dealt on either an advised or execution-only basis, where available, depending whether you trade to implement a personal recommendation from us to you.

Who is the service designed for?

This service is designed for clients who want pro-active involvement in the markets, to trade on a frequent basis, where available, and who want to engage with a market professional to source advice and/or as a facilitator or trades.

The service is most likely to appeal to you if you want to retain control over your investment decisions or over a portion of your wealth, or if you take an interest in global capital markets. Clients would benefit from this service are likely to have a high level of composure in times of market volatility, a high level of engagement with the market and a risk appetite between Medium nd High.

Please note not all investment types are available to all investors.

Additional features of Advisory Dealing

- Accounts can be opened for individuals, corporate entities and trusts.
- The minimum initial commitment to this service is £200,000.