

## **Risk Warning for Advisory Dealing Trading Services**

Dear Client,

Thank you for applying for a trading account with Synergy Markets Ltd.  
We remain committed to providing you with a secure and reliable trading environment.

We are writing to you as a valued customer to provide you with important risk warnings related to our advisory services, which can be provided as both ongoing and non-ongoing advice. It is crucial that you carefully read and understand the following information before engaging in any advisory services.

**Ongoing Advisory Service:** If you choose to receive ongoing advisory services, it means that you will receive recurring advice and guidance on a consistent basis. This service is designed to provide continuous support, monitoring, and periodic reviews of your investments and financial goals. It allows for adjustments to be made to your portfolio as necessary to align with your objectives.

**Non-Ongoing Advisory Service:** Alternatively, you can opt for non-ongoing advisory services, which involve receiving advice on a one-off or occasional basis for specific financial matters or investment decisions. With this option, you will not have the ongoing support and monitoring that is provided with the ongoing advisory service.

**Restricted Nature of Advice:** Please note that the advice provided by Synergy is restricted in nature. This means that there are limitations on the range of products and investments that we can advise on. Our advisory services are tailored to a limited number of products and investment options. This restriction may limit the available choices and diversity of investments that we can advise you on, potentially affecting your investment opportunities.

**Client Vulnerabilities:** It is important to communicate any vulnerabilities you may have as a client to our firm. This includes any specific circumstances, financial limitations, or risk factors that could impact your investment decisions or require additional consideration. By sharing such information, we can ensure that our advice is appropriate and suitable for your individual needs.

**Understanding Your Financial Objectives:** Before engaging in our advisory services, it is essential to clearly communicate your financial objectives, risk tolerance, and investment preferences. This will enable our advisors to provide advice that is aligned with your specific goals and circumstances.

**Circumstances Changing:** If your personal or financial circumstances change after engaging our advisory services, it is important to notify Synergy immediately. Changes in your circumstances may impact the suitability of the advice provided, and timely communication allows us to review and make necessary adjustments to your investment strategy.

**Market Risks:** Investing in financial markets involves inherent risks, including the potential for loss of capital. Market conditions, economic factors, and unexpected events can lead to fluctuations in the value of investments. It is important to recognise and accept these risks when making investment decisions.

**Risks of CFD Trading and Leverage:** We must bring to your attention the risks associated with Contracts for Difference (CFDs) and the use of leverage. CFD trading involves a high level of risk and may not be suitable for all investors. The use of leverage amplifies both potential profits and losses, meaning that you could incur significant losses that exceed your initial investment. It is important to fully understand the risks and implications of CFD trading and leverage before engaging in such activities.

**Synergy not responsible for the ongoing suitability of your portfolio:** While we provide expert advice and guidance, it is important to note that Synergy is not responsible for the ongoing suitability of your portfolio. The responsibility lies with you as the client to communicate any changes in your circumstances that may affect the suitability of our advice. We strongly recommend notifying us promptly if any such changes occur.

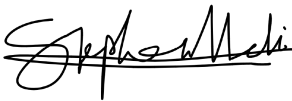
We strongly advise you to carefully assess your personal circumstances, investment goals, and risk tolerance before engaging in our advisory services.

By proceeding with our advisory services, you confirm that you have read, understood, and accepted the risks, limitations, the importance of communicating your vulnerabilities and changing circumstances to our firm, and the risks associated with CFD trading and leverage if chosen.

If you have any questions or concerns, please do not hesitate to contact our customer support team, who will be more than happy to assist you.

If you wish to proceed with the trading account and the Advisory service, we request that you reply to this letter by email stating **that you understand and agree to the risks involved.**

Yours sincerely,



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Synergy Markets Ltd offers investments and services to clients, which are primarily considered to be high risk investments. Some investments such as contracts for difference use leverage which can magnify gains but equally can magnify losses. Leveraged products may lose investors more than the amount they initially invested. Not all financial products are suitable for all investors. Before entering into any transaction you should ensure that you understand and have made an independent assessment of the suitability and appropriateness of the transaction into which you are entering and the nature and extent of your exposure to risk of loss in light of your own objectives, financial and operational resources and other relevant circumstances. You should take such independent investigations and such professional advice as you consider necessary or appropriate for such purpose. Past performance is no indicator of future success.

**CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. Between 74-89% of retail investor accounts lose money when trading CFDs. You should consider whether you understand how CFDs work and whether you can afford to take the high risk of losing your money.**

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