Subject: Risk Warning for Execution Only Trading Services

Dear [Customer Name],

Dear Client Thank you for applying for a trading account with Synergy Markets Ltd.
Your trading account application is almost complete.

We are writing to you as a valued customer to provide you with important risk warnings related to the execution only trading services we offer. It is crucial that you carefully read and understand the following information before engaging in any execution only trades.

It is important that you read all the text below.

EXECUTION ONLY

1. The value of shares and the income from them may go down as well as up and you may not get back the money you invested. It should not be assumed that the value of investments always rise. Past performance is not a reliable indicator of future results and investment in shares carry the risk that all or some of the capital invested might be lost.

2. Investors should carefully consider their own personal financial circumstances before dealing in the stock market, and should seek independent professional advice prior to investing.

3. There is an extra risk of losing money when shares are bought in smaller companies and investments that are not readily realisable, e.g. unquoted companies or smaller less liquid quoted companies, and you may have difficulty in selling them at a reasonable price and in some circumstances it may be difficult to sell at any price

4. High risk or “speculative” investments have wider spreads on price and are more illiquid and it may be difficult to sell the shares on a short-term basis

6. Investment in high risk or “speculative” shares such as AIM/Small Cap/ISDX carry an even greater risk that some or all of the capital invested might be lost and that you should ensure that you have the financial capacity to bear the risk and only invest money you can afford to lose

7. No Advice Provided: We would like to emphasise that our execution only trading services do not involve the provision of any investment advice or recommendations. You are solely responsible for making your own investment decisions, based on your personal knowledge, experience, and understanding of the financial markets.

8. Your Financial Objectives: Before engaging in execution only trading, it is important to consider your financial objectives, risk tolerance, and investment experience. You should only proceed with trades that align with your financial goals and suit your risk appetite.

9. Market Risks: Trading in financial markets carries inherent risks. Prices of financial instruments can be volatile and may fluctuate rapidly due to various factors, including economic conditions, political events, market sentiment, and unforeseen circumstances. These price movements can result in substantial gains or losses on your investments.

10. Market Liquidity: The liquidity of certain financial instruments may vary, meaning that it can be challenging to execute trades at desired prices or within specific timeframes. This could impact your ability to buy or sell securities promptly, particularly during periods of market volatility or when dealing with less liquid assets.

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11. Execution Risk: Execution only trading means you are responsible for the timing and execution of your trades. It is crucial to understand the mechanics of placing orders and the potential risks associated with order execution, including delays, partial executions, and the possibility of not achieving the desired price.

12. Monitoring and Review: As an execution only trader, it is essential to actively monitor and review your investment positions regularly. You should stay informed about market conditions, news, and any changes that may impact your investment decisions.

We strongly advise you to carefully assess your personal circumstances, financial knowledge, and risk tolerance before engaging in execution only trading. If you have any doubts or require further clarification, we encourage you to seek independent financial advice from a qualified professional.

By proceeding with our execution only trading services, you confirm that you have read, understood, and accepted the risks outlined in this letter. If you have any questions or concerns, please do not hesitate to contact our customer support team, who will be more than happy to assist you.

Thank you for choosing our services. We remain committed to providing you with a secure and reliable trading environment.

If you wish to proceed with the trading account and the execution-only service, we request that you reply to this letter by email stating **that you understand and agree to the risks involved.**

Yours sincerely,

Stephen Meli FSCI
Chartered Investment Adviser
Director
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Synergy Markets Ltd offers investments and services to clients, which are primarily considered to be high risk investments. Some investments such as contracts for difference use leverage which can magnify gains but equally can magnify losses. Leveraged products may lose investors more than the amount they initially invested. Not all financial products are suitable for all investors. Before entering into any transaction you should ensure that you understand and have made an independent assessment of the suitability and appropriateness of the transaction into which you are entering and the nature and extent of your exposure to risk of loss in light of your own objectives, financial and operational resources and other relevant circumstances. You should take such independent investigations and such professional advice as you consider necessary or appropriate for such purpose. Past performance is no indicator of future success.

CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage.
70% of retail investor accounts lose money when trading CFDs with the above providers.
You should consider whether you understand how CFDs work and whether you can afford to take the high risk of losing your money.

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